

031004

6152 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 09792909.5872
 First Named Inventor: YASUHIITO INAGAKI
 Title: HIGH MOLECULAR WEIGHT FLOCCULANT
 Express Mail Label No. EL 716465213 US
 Date of Deposit: 03/10/2004

22858 U.S. PTO
10/797898

031004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MAIL STOP PATENT APPLICATION
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

1. ☒ This Form includes the Fee Transmittal (See Box 19)
 (Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification [Total Pages 53]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 1]
5. ☒ Declaration and Power of Attorney [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R in duplicate; or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☒ Certified copy of Japanese Priority Document:
 Document No. _____, filed on _____
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(I).
 Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Certificate of Express Mailing

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/935516
 Prior application information: Examiner Name: Bernard Lipman Group Art Unit: 1713

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. FEE CALCULATIONS:

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) BASIC FEE |
|--------|--------------------|---|------------------|----------|---------------|
| | | | | | \$385.00 |
| | TOTAL CLAIMS | 6 | 0 | \$9.00 | \$0 |
| | INDEPENDENT CLAIMS | 1 | 0 | \$43.00 | \$0 |
| | | ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO | | \$145.00 | \$0 |
| | | | | SUBTOTAL | \$385.00 |

- a. ☒ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- b. ☒ The enclosed credit card payment form to charge the amount of \$425.00 covers the total claim fee and recordation fee.

20. ☒ CUSTOMER NO. 26263

Dated: 03/10/2004

SIGNATURE:

Lara Dickey Lewis
 Lara Dickey Lewis, (Reg. No. 48,161)

The PTO did not receive the following
 listed item(s) Assignment Cover
Sheet

**Certificate of Mailing by EXPRESS MAIL
under 37 CFR 1.10**

I hereby certify that the following documents:

Utility Patent Application Transmittal Form (1 pg) + 1 copy; Credit Card Payment Form (1 pg); Copy of Declaration & Power of Attorney from grandparent application (2 pgs); Copy of Assignment Papers from grandparent application (5 pgs); Information Disclosure Statement (3 pgs); Copy of Foreign IDS Citations; Preliminary Amendment (6 pgs); Copies of cover page of certified copy of Japanese priority document Nos. JP9-104069 and JP9-098584; Specification (53 pgs); Drawing (1 sheet); Return Receipt Postcard

are being deposited with the United States Postal as "Express Mail Post Office to Addressee" and addressed to:

**MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

On March 10, 2004
Date



Signature

Connie A. Mills

Typed or printed name of person signing Certificate

EL 716465213 US
EXPRESS MAIL NO.

Note: Each paper must have its own certificate of mailing by Express Mail, or this certificate must identify each submitted paper.

Docket No. 09792909.5872